

PATENT

Attorney Docket No. 25885-703

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application	)	<u>PATENT APPLICATION</u>
	)	
Inventor(s): Chang-An Wu et al.	)	
	)	Art Unit: Unassigned
Application No.: Unassigned	)	
	)	Examiner: Unassigned
Filed: Herewith (12/21/2001)	)	
	)	
Title Water Miscible Pesticide Containing A	)	
Synergistic Cocktail of Plant Alkaloids	)	



**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☒ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 25885-703).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI


By: 

Shirley Chen, Reg. No. 44,608

Dated: December 21, 2001

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<b>INFORMATION DISCLOSURE CITATION</b>  <b>PTO-1449</b>			ATTY. DOCKET NO. 25885-703		SERIAL NO. Unassigned	
			APPLICANT Chang-An Wu et al.			
			FILING DATE Herewith (12/21/2001)		GROUP Unassigned	

jcs826 U.S. PTO  
**10/026361**  
  
 12/21/01

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 796 621 A2	3/19/97	Europe	A61K	35/78	<input type="checkbox"/>	<input type="checkbox"/>
	0 796 621 A3	3/19/97	Europe	A61K	35/78	<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	L. Wanchun et al., "Toxicity of Cytisine Against the Mustard Aphid Lipaphis Erysimi Kaltendbach (Homoptera: Aphididae) and its Effect on Esterases", 1999, <i>Pesticide Biochemistry and Physiology</i> , Vol. 65, No. 1, pp. 1-5
	L. Wanchun et al., "The Toxicities of Alkaloids from Sophora Alopecuroids Against Turnip Aphids and Effect on Several Esterases", <i>Acta Entomologica Sinica</i> , Nov. 1997, Vol. 40, pp. 358-365

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.